



S&H Form: PTO/SB/30 (2/01)

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

(INCLUDING FILING FEE AND/OR PETITION FOR
EXTENSION OF TIME FEE)

Subsection (b) of 35 U.S.C. §132, effective May 29, 2000
provides for continued examination of a utility or plant application
filed on or after June 8, 1995.
See The American Inventors Protection Act of 1999 (AIPA)

10/31/02
#111 (RCE)
Bmvs
RCE
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OCT 25 2002
TOL 1700

To: Assistant Commissioner for Patents
Box RCE
Washington, DC 20231

Attorney Docket No.: 1293.1132

First Named Inventor Du-seop YOON et al.

Application No. 09/620,469

Group Art Unit 1756

Filing Date July 20, 2000

Examiner Martin J. Angebranndt

CPA Filing Date

Confirmation No 3077

Title of Invention PHASE CHANGE OPTICAL DISC

This is a Request for Continued Examination (RCE) under 37 C.F.R. §1.114 of the above-identified application.

NOTE: 37 C.F.R. §1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. §1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (March 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1.


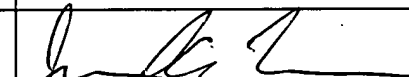
Submission required under 37 C.F.R. §1.114 (Box a or b must be completed)

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on July 23, 2002
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☒ Enclosed ✓
- i. ☒ Preliminary Amendment
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

2.

Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. §103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. §1.17(i) required).
- b. ☐ Other

| | | | |
|---|---|------------------|---------------|
| | BASIC FEE | \$ | 740.00 |
| Since an Official Action set an <u>original</u> due date of <u>July 23, 2002</u> , petition is hereby made for an extension of time to cover the date this RCE is filed, for which the requisite fee is enclosed (1 month (\$110); 2 months (\$400); 3 months (\$920); 4 months (\$1,440); 5 months (\$1,960)): | | | 920.00 |
| Suspension Fee (\$130.00) | | | |
| Total of above Calculations = | | \$ | 1660.00 |
| Reduction by 50% for filing by small entity (Note 37 C.F.R. 1.9, 1.27, 1.28). | | | |
| TOTAL FEES DUE = | | \$ | 1660.00 |
| 4. <input type="checkbox"/> Small entity status: a. <input type="checkbox"/> Verified Statement Claiming Small Entity Status. b. <input type="checkbox"/> A Verified Statement Claiming Small Entity Status was previously filed and such status is still proper and desired. c. <input type="checkbox"/> is no longer claimed. 5. <input type="checkbox"/> Other: | | | |
| 6. METHOD OF PAYMENT | | | |
| <input checked="" type="checkbox"/> A check in the amount of <u>\$1660.00</u> is enclosed. <input type="checkbox"/> Charge "TOTAL FEES DUE" to Deposit Account No. 19-3935. (A duplicate copy of this form is enclosed.) | | | |
| 7. GENERAL AUTHORIZATION | | | |
| <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 C.F.R. 1.16 (filing fees) or 37 C.F.R. 1.17 (processing fees) during the prosecution of this application and of any related application(s) claiming benefit hereof pursuant to 35 U.S.C. §120 to maintain pendency hereof and of any such related application to: Deposit Account No. 19-3935. | | | |
| 8. CORRESPONDENCE ADDRESS | | | |
| STAAS & HALSEY LLP  21171 <small>PATENT TRADEMARK OFFICE</small> | | | |
| 9. SIGNATURE OF ATTORNEY OR AGENT REQUIRED | | | |
| NAME | James G. McEwen | REGISTRATION NO. | 41,983 |
| SIGNATURE |  | DATE | OCT. 22, 2002 |



H11(Amdt)
DOCKET NO. 1293.1132/JGM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Du-seop YOON, et al.

Serial No.: 09/620,469

Group Art Unit: 1756

Confirmation No.: 3077

Filed: July 20, 2000

Examiner: Martin J. Angebranndt

For: PHASE CHANGE OPTICAL DISC

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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is responsive to the Final Office Action mailed April 23, 2002, having a shortened period for response set to expire on July 23, 2002. A petition and fee for a three-month Extension of Time is enclosed, thereby extending the response period to October 23, 2002.

As indicated in the Advisory Action mailed July 29, 2002, the amendments to the claims made in the Request for Reconsideration filed July 23, 2002 have been entered.

The following amendments and remarks are respectfully submitted.

IN THE CLAIMS:

Please **AMEND** claims 1, 5, 8, 11, 13, 15, 18, and 21 as follows:

Sub 1. (THREE TIMES AMENDED) A phase change optical disc compatible with a recording beam and a reproducing beam, comprising:

a transparent substrate;

at least one first dielectric layer thinly formed on said transparent substrate;